a

*

DATE: U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE U.S. A111919 8 686 20 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 PRIORITY DATE CLAIMED: INTERNATIONAL APPLICATION NO.: INTERNATIONAL FILING DATE: PCT/JP99/06061 OCTOBER 29, 1999 **DECEMBER 28, 1998**

TITLE OF INVENTION: AMORPHOUS NANO-SCALE CARBON TUBE AND PRODUCTION METHOD THEREFOR

APPLICANT(S) FOR DO/EO/US: Hisaii MATSUI, Ryoichi NISHIDA, Hitoshi NISHINO, Chiharu YAMAGUCHI, Haruvuki NAKAOKA, Kazuhiro TAKEZAKI and Katsuhiro SASAKI

Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

XX This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.

This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. his express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until xx T the expiration of the time limit set in 35 USC 371(b) and PCT Articles 22 and 39(1).

- 4. XX A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority
- 5. XX A copy of the International Application as filed (35 U.S.C. 371(c)(2)):
 - is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. XX has been transmitted by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6. XX A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- 7. XX Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ___ have been transmitted by the International Bureau.
 - have not been made: however, the time limit for making such amendments has NOT expired.
 - d. XX have not been made and will not be made.
- A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- XX An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. XX. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

ITEMS 11. TO 16. BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:

- 11. __ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. XX An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. ASSIGNEE NAME AND ADDRESS: OSAKA GAS COMPANY LIMITED, Osaka-shi, Japan Please publish the assignee data with the application.
- 13. XX A FIRST preliminary amendment.
- A SECOND or SUBSEQUENT preliminary amendment
- 14. A substitute specification.
- 15. ____ A change of power of attorney and/or address letter.
- 16. XX Other items or information: 8 sheets of drawings (Figs. 3-A, 3-B, 6-A and 6-B being photographs) and international search report

ATTORNEY'S DOCKET NO: 010756

U.S. APPLICATION	86862 0	INTERNATIONAL PCT/JP	APPLICATION NO. 99/06061	DATE: June 2		DOCKET NO: 01075
Basic National I Search Report h International prel to USPTO (37 Ci No international to USPTO (37 Ci paid to USPTO (5 Neither internatio (37 CFR 1.482) (37 CFR 1.482) International (37 CFR 1.482)	iminary examination R 1.482) oreliminary examinat R 1.482) but intern 87 CFR 1.445(a)(2)) and preliminary examor international seal(2)) paid to USPTO iminary examination and all claims satisf	(a)(1)-(5): the EPO or JPO: fee paid dion fee paid attonal search fee hination fee arch fee fee fee fee drovisions	\$60.00 \$690.00 \$710.00 \$1000.00	CALCULATIONS	<u>) </u>	PTO USE ONLY
Surcharge of \$13	\$ 860.00					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			
TOTAL	29 -20 =	9	X \$ 18.00	\$ 162.00		
INDEPENDENT	1 - 3 =		X \$ 80.00	1.23333		
Multiple depende	\$ 270.00					
	\$1,292.00					
Reduction by 1/2 1.28).						
	\$1,292.00					
Processing fee of						
	\$1,292.00					
Fee for recording must be accompa	\$ 40.00					
	\$ 1,332.00					
				Amount to be:	refunded	\$

		ATTORNEY'S DOCKET NO: 0107	756				
U.S. APPLICATION NO.		INTERNATIONAL APPLICATION NO.	DATE: June 25, 2001				
(II KIIOWI	09/868620	PCT/JP99/06061					
a. <u>XX</u>	A check in the amount of \$ 1, claims; \$270.00 for multiple of	332.00 to cover the above fees is enclosed dependent claims and \$40.00 for assignment	ed. (\$860.00 for filing fee; \$162.00 for 9 additional eent recordation fee). (This paper is filed in triplicate)				
b	o Please charge my Deposit Account No. 01-2340 in the amount of \$ to cover the above fees. (A duplicate copy of this sheet is enclosed.)						
c. <u>X</u>	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 01-2340.						
NOTE:	Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.						
Send Ali	l Correspondence To:						
		23850					
		PATENT I KADEMAKK OPPICE	Atu Muu				

Stephen G. Adrian NAME

32,878 REGISTRATION NUMBER

U SGA/yap

ARMSTRONG, WESTERMAN, HATTORI, McLELAND & NAUGHTON, LLP Suite 1000, 1725 K Street, N.W. Washington, D. C. 20006 Tel: (202) 659-2930 Fax: (202) 887-0357

PATENT IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Hisaji MATSUI et al.

Serial No.: Not Yet Assigned

(PCT/JP99/06061)

Filed: June 25, 2001

For: AMORPHOUS NANO-SCALE CARBON TUBE AND PRODUCTION

METHOD THEREFOR

PRELIMINARY AMENDMENT

Commissioner for Patents Washington, D.C. 20231

June 25, 2001

Sir:

Prior to the calculation of the filing fees of the above application, please amend the

application as follows:

IN THE CLAIMS:

Please cancel claims 20 to 26, presented by amendment of October 17, 2000 to the International Bureau.

Please amend claims 1, 3-8, 13 and 27 as follows:

1. (Amended) Nano-scale carbon tubes each containing a main framework which comprises carbon, and each having a diameter of 0.1 to 1000 nm and an amorphous structure, and each having

an interlayer spacing (002) between hexagonal carbon layers of at least 3.54 Å and a diffraction angle (20) of 25.1 degrees or less, as determined with a diffractometer by an X-ray diffraction method (incident X-Ray: $CuK\alpha$).

- 3. (Amended) The nano-scale carbon tubes according to claim 1, each of which has an interlayer spacing (002) between hexagonal carbon layers of at least 3.54 Å, a diffraction angle (2θ) of 25.1 degrees or less, and a 2 θ band half-width of at least 3.2 degrees, as determined with a diffractomer by an X-ray diffraction method (incident X-ray: CuK α).
- (Amended) Amorphous nano-scale carbon tubes according to claim 1, each of which has
 a straight shape.
- (Amended) Amorphous nano-scale carbon tubes according to claim 1, each of which has a hollow cylindrical shape or a hollow rectangular prism shape.
- (Amended) Amorphous nano-scale carbon tubes according to claim 1, each of which has at least one open end.
- (Amended) The amorphous nano-scale carbon tubes according to claim 1, which are formed on a substrate, a particle or a porous material.

- 8. (Amended) A gas-storing material comprising an amorphous carbonaceous material containing the amorphous nano-scale carbon tubes according to claim 1.
- 13. (Amended) A method for producing a carbon material containing amorphous nano-scale carbon tubes according to claim 1, the method comprising subjecting a heat decomposable resin having a decomposition temperature of 200 to 900°C to excitation treatment in the presence of a catalyst comprising a metal powder and/or a metal salt.
- 27. (Amended) A carbon material containing the amorphous nano-scale carbon tubes according to claim 1.

REMARKS

The above proposed amendment is believed to place the claims in substantially the same condition as the claims which have been amended under Article 34 in the International Application PCT/JP99/06061 and to remove improper multiple dependent claims. Early and favorable action is awaited

Attached hereto is a marked-up version of the changes made to the claims by the current amendment. The attached page is captioned "<u>Version with markings to show changes made.</u>"

In the event there are any additional fees required, please charge our Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, WESTERMAN, HATTORI, McLELAND & NAUGHTON, LLP

> Stephen G. Adrian Reg. No. 32,878

Atty. Docket No. 010756 Suite 1000 1725 K Street, N.W. Washington, D.C. 20006 Tel: (202) 659-2930 SGA/yap

VERSION WITH MARKINGS TO SHOW CHANGES MADE

Claims 1, 3-8, 13 and 27 have been amended as follows:

- 1. (Amended) Nano-scale carbon tubes each containing a main framework which comprises carbon, and each having a diameter of 0.1 to 1000 nm and an amorphous structure, and each having an interlayer spacing (002) between hexagonal carbon layers of at least 3.54 Å and a diffraction angle (20) of 25.1 degrees or less, as determined with a diffractometer by an X-ray diffraction method (incident X-Ray: CuKa).
- 3. (Amended) The nano-scale carbon tubes according to claim 1 [or 2], each of which has an interlayer spacing (002) between hexagonal carbon layers of at least 3.54 Å, a diffraction angle (20) of 25.1 degrees or less, and a 20 band half-width of at least 3.2 degrees, as determined with a diffractomer by an X-ray diffraction method (incident X-ray: $CuK\alpha$).
- 4. (Amended) Amorphous nano-scale carbon tubes according to [any one of claims 1 to 3] claim 1, each of which has a straight shape.
- 5. (Amended) Amorphous nano-scale carbon tubes according to [any one of claims 1 to 4] claim 1, each of which has a hollow cylindrical shape or a hollow rectangular prism shape.
- (Amended) [The] Amorphous nano-scale carbon tubes according to [any one of claims
 to 5] <u>claim 1</u>, each of which has at least one open [or flat] end.
- (Amended) The amorphous nano-scale carbon tubes according to [any one of claims 1 to
 claim 1, which are formed on a substrate, a particle or a porous material.

- 8. (Amended) A gas-storing material comprising an amorphous carbonaceous material containing the amorphous nano-scale carbon tubes according to [any one of claims 1 to 7] claim 1.
- 13. (Amended) A method for producing a carbon material containing amorphous nano-scale carbon tubes according to [any one of claims 1 to 7] <u>claim 1</u>, the method comprising subjecting a heat decomposable resin having a decomposition temperature of 200 to 900°C to excitation treatment in the presence of a catalyst comprising a metal powder and/or a metal salt.
- 27. (Amended) A carbon material containing the amorphous nano-scale carbon tubes according to [any one of claims 1 to 7] claim 1.

-1-DESCRIPTION

AMORPHOUS NANO-SCALE CARBON TUBE

AND PRODUCTION METHOD THEREFOR

TECHNICAL FIELD

The present invention relates to amorphous nanoscale carbon tubes each of which comprises carbon as a main framework and has a diameter of 0.1 to 1000 nm and a hollow fiber shape; a carbon material containing the amorphous nano-scale carbon tubes; and a method for producing the amorphous nano-scale carbon tubes or the carbon material.

BACKGROUND ART

Conventionally, carbon fibers are produced by forming a starting material such as pitch or polyacrylonitrile into fibers while maintaining the main chain framework of the starting material. This type of method is incapable of producing a product wherein the nano-scale molecules are controlled.

Carbon nanotubes (hereinafter referred to as "CNTs"), which have been attracting attention recently. can be roughly regarded as fibers controlled at the molecular level. CNTs are produced by the carbon arc method, sputtering, laser beam irradiation or like

5

1.5

20

15

20

25

technique, using graphite or like starting carbon material in the presence of a metal catalyst. However, these techniques chiefly produce carbon nanotubes having a graphitic structure. One example of a carbon nanotube of such a structure is a carbon filament comprising a graphitic core surrounded by irregular pyrrolytic carbon (Oberlin, Endo, Koyama; Carbon 14, 133 (1976)).

Also, Japanese Examined Patent Publications No. 1991-64606 and No. 1991-77288 and other publications disclose carbon fibrils each comprising a graphitic outer portion and an inner core comprising irregular carbon atoms. In the disclosed techniques, however, it is practically difficult to control straightness of molecules or shape factors such as diameter and length.

Further, attempts have been made to produce CNTs using acetylene or like gaseous hydrocarbon as a starting material in the presence of a catalyst such as iron. However, also in these techniques, it is practically difficult to control straightness of molecules, diameter, length and the like while maintaining the graphitic structure. Stated specifically, in all of these techniques, the starting materials are excited into a gasphase activated carbon state and then allowed to form CNTs during the process of recombination. Therefore, it is extremely difficult to control the reaction parameters

1.5

20

25

such as the amount of the starting material, resulting in production of CNTs having a graphitic structure and varying widely in shape. The products obtained by these techniques have a degree of graphitization (crystallinity) of at least 5%, and 50% to 100% in most cases. Further, the end each of the CNTs is closed with a cap and CNTs often contain a metal at the tips.

One report points out the existence of carbon nanotubes having an amorphous structure, as a precursor of graphitic carbon nanotubes. However, the existence of the carbon nanotubes with an amorphous structure is merely presumed from the existence of carbon tubes with an amorphous structure which are observed, through TEM, among the graphitic carbon nanotubes. Moreover, the amorphous carbon tubes are reported to be an intermediate product temporarily formed in the process of forming the graphitic carbon tubes. Thus, the selective synthesis method or use of the amorphous carbon nanotubes have not been elucidated yet (Wenlow Wang et al: Electrochemical Society Proceedings Volume 97-14, 814(1997)).

As discussed above, it is practically difficult for the conventional techniques to control the crystal structure, molecular straightness, diameter, length, end structure and the like of the CNTs. In particular, the CNTs have a substantially graphitic structure, and thus

10

have low degree of freedom of structural control.

Moreover, the conventional techniques have the problem that amorphous carbon formed as a by-product contaminates the graphite product and makes purification extremely difficult.

Regarding the properties of CNTs, it has been reported that CNTs are likely to adsorb a hydrogen gas densely by their capillary action (A.C.Dillon et al: Nature, 386, 377(1997)). Further, United States Patent No. 5,653,951 states that solid layered nanostructures (graphite nanofibers) are capable of chemisorbing a large amount of hydrogen into the interstices of the graphite layers.

However, reports on these known materials merely
point out possible performance characteristics of the
materials on a research and development level. This is
because the prior art techniques have a number of problems
such as difficulties in material synthesis process and in
control of structure and shape that affect the material
stability, lack of mass-productivity, and the like.
Moreover, the graphite nanofibers do not have sufficient
durability for repetitive use, since the distance between
the graphite layers expands as the fibers adsorb hydrogen.
Accordingly, there remain a number of problems to be
solved before putting the known materials into practical

15

20

25

use.

OBJECTS OF THE INVENTION

The main object of the present invention is to provide amorphous nano-scale carbon tubes in hollow fiber shape, which are excellent in mechanical, electronic and chemical properties and comprise carbon having a structure and shape controlled at a nano-scale level; and a novel method that enables industrial production of such amorphous nano-scale carbon tubes in high purity, high yield and high mass-productivity.

A further object of the present invention is to provide a gas-storing material comprising an amorphous nano-scale carbon tube, which has a stable high capacity for storing a variety of gases and possesses excellent durability; and a method for storing a gas utilizing the gas storing material.

DISCLOSURE OF THE INVENTION

The present inventors conducted extensive research in view of the above status of the prior art, and obtained the following findings:

(1) The wall each of the amorphous nano-scale carbon tubes has amorphous structure consisting of hexagonal carbon layers oriented in all directions. The spacing between the hexagonal carbon layers imparts flexibility to the carbon tubes, and enables the carbon

15

20

tubes to expand, to absorb gas molecules and to disperse the gas molecules within each tube. Amorphous nano-scale carbon tubes each comprising an aggregate of the hexagonal carbon layers are higher in gas-storing capability and durability than CNTs having a non-amorphous structure such as a graphitic structure.

- (2) Amorphous nano-scale carbon tubes, if having open ends, require no complex process for opening the ends. Also, amorphous nano-scale carbon tubes having flat ends possess a relatively large structural strain at the ends, and are therefore easy to open at the ends.
- (3) Further, amorphous nano-scale carbon tubes having a straight shape are advantageous in dense packing of the material and gas dispersion within the material.
- (4) Furthermore, amorphous nano-scale carbon tubes having a flexible or elastic amorphous structure which can absorb external force, are useful from the standpoint of sliding property, abrasion resistivity and the like.
- (4) When amorphous nano-scale carbon tubes are produced by placing a heat decomposable resin, which decomposes at a specific temperature, into an excited state in the presence of a catalyst comprising a metal powder and/or a metal salt, amorphous nano-scale carbon tubes with the above specific structure and shape can be

produced in high purity, high yield and high massproductivity.

(5) The amorphous nano-scale carbon tubes obtained by the above method are particularly suitable as a gas-storing material, a sliding material, an abrasion-resistant material or the like.

The present inventors conducted further research based on these findings, and consequently developed straight, stable and nano-oder nano-scale carbon tubes having an amorphous structure, and a method for producing the nano-scale carbon tubes in high purity, high yield and high mass-productivity.

The present invention provides the following amorphous nano-scale carbon tubes or carbon materials comprising the amorphous nano-scale carbon tubes, and methods for producing the same.

- Nano-scale carbon tubes each containing a main framework which comprises carbon, and each having a diameter of 0.1 to 1000 nm and an amorphous structure.
- 2. The nano-scale carbon tubes according to item 1, each of which comprises hexagonal carbon layers each having a dimension in the planar direction that is smaller than the diameter of the carbon tube, as determined from a transmission electron microscope image.
 - 3. The nano-scale carbon tubes according to item

25

20

1.5

15

20

25

1 or 2, each of which has an interlayer spacing (002) between hexagonal carbon layers of at least 3.54 Å, a diffraction angle (20) of 25.1 degrees or less, and a 20 band half-width of at least 3.2 degrees, as determined with a diffractometer by an X-ray diffraction method (incident X-ray: $CuK\alpha$).

- 4. The amorphous nano-scale carbon tubes according to any one of items 1 to 3, each of which has a straight shape.
- 5. The amorphous nano-scale carbon tubes according to any one of items 1 to 4, each of which has a hollow cylindrical shape or a hollow rectangular prism shape.
- 6. The amorphous nano-scale carbon tubes according to any one of items 1 to 5, each of which has at least one open or flat end.
- 7. The amorphous nano-scale carbon tubes according to any one of the items 1 to 6, which are formed on a substrate, a particle or a porous material.
- 8. A gas-storing material comprising an amorphous carbonaceous material containing the amorphous nano-scale carbon tubes according to any one of items 1 to 7.
- The gas-storing material according to item 8, which contains at least one of a metal salt and a metal.

15

- 10. The gas-storing material according to item 9, wherein the metal salt and the metal are selected from the group consisting of iron, cobalt, nickel, copper, platinum, palladium, rubidium, strontium, cesium, vanadium, manganese, aluminum, silver, lithium, potassium, sodium,
- 11. A method for storing a gas, wherein a gas is stored using the gas-storing material according to any one of items 8 to 10

magnesium, hydrogen-occluding alloys and metal complexes.

- 12. The method according to item 11, wherein the gas to be stored is hydrogen, methane, helium, neon, xenon, krypton or carbon dioxide.
- 13. A method for producing a carbon material containing the amorphous nano-scale carbon tubes according to any one of items 1 to 7, the method comprising subjecting a heat decomposable resin having a decomposition temperature of 200 to 900°C to excitation treatment in the presence of a catalyst comprising a metal powder and/or a metal salt.
- 20 14. The method for producing said carbon material containing the amorphous nano-scale carbon tubes according to item 13, wherein the catalyst comprising a metal powder and/or a metal salt is at least one member selected from the group consisting of alkaline earth 25 metals, iron, cobalt, nickel, chromium and their salts.

15

20

- 15. The method for producing said carbon material containing the amorphous nano-scale carbon tubes according to item 13 or 14, wherein the excitation treatment of the heat decomposable resin is carried out by heat treatment in an inert gas at a temperature of 300 to 3000°C.
- 16. The method for producing said carbon material containing the amorphous nano-scale carbon tubes according to item 13 or 14, wherein the excitation treatment of the heat decomposable resin is carried out by light irradiation treatment in an inert gas at a temperature of room temperature to 3000°C.
- 17. The method for producing said carbon material containing the amorphous nano-scale carbon tubes according to item 13 or 14, wherein the excitation treatment of the heat decomposable resin is carried out by plasma treatment in an inert gas at a temperature of room temperature to 3000°C.
- 18. The method for producing said carbon material containing the amorphous nano-scale carbon tubes according to item 13 or 14, wherein the excitation treatment of the heat decomposable resin is carried out by electron beam irradiation treatment in an inert gas at a temperature of room temperature to 3000°C.
 - 19. The method for producing said carbon

material containing the amorphous nano-scale carbon tubes according to item 13 or 14, wherein the excitation treatment of the heat decomposable resin is carried out by ion beam irradiation treatment in an inert gas at a temperature of room temperature to 3000°C.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a schematic diagram showing a plasma excitation apparatus used in the working examples of the present invention.

Fig. 2 is an X-ray diffraction chart of amorphous nano-scale carbon tubes obtained in Example 1.

Fig. 3-A is a TEM photograph showing an amorphous nano-scale carbon tube obtained in Example 3.

Fig. 3-B is a TEM photograph showing a further enlarged image of the amorphous nano-scale carbon tube shown in Fig. 3-A.

Fig. 4 is an X-ray diffraction chart of amorphous nano-scale carbon tubes obtained in Example 3.

Fig. 5 is an X-ray diffraction chart of amorphous nano-scale carbon tubes obtained in Example 9.

Fig. 6-A is a TEM photograph showing an amorphous nano-scale carbon tube obtained in Example 18.

Fig. 6-B is a TEM photograph showing a further enlarged image of the amorphous nano-scale carbon tube shown in Fig. 6-A.

10

15

20

15

20

25

DETAILED DESCRIPTION OF THE INVENTION

According to the present invention, the heat decomposable resin for use as a starting material is not particularly limited, as far as it has a decomposition temperature of about 200 to 900°C (preferably about 400 to 900°C). Specific examples of such resins include polytetrafluoroethylene (PTFE), polyvinylidene chloride, polyvinylidene fluoride, polyethylene (PE), polyvinyl alcohol (PVA), polyimide, polyacrylonitrile and the like. Of the above heat decomposable resins, PTFE, PE, PVA, polyacrylonitrile and the like are preferred.

The heat decomposable resin as the starting material may be in any forms, such as film or sheet, powder, mass or the like. For example, the heat decomposable resin may be applied to or mounted on a substrate, and then subjected to excitation treatment under suitable conditions, whereby a carbon material comprising a thin layer of amorphous nano-scale carbon tubes formed on the substrate is obtained.

Catalysts usable for excitation treatment of the heat decomposable resin include, for example, iron, cobalt, nickel, chromium, magnesium and like metals; halides, complexes and like salts of these metals. Of the above catalysts, iron chloride is preferable. The particle size of the catalyst is not particularly limited, but is

15

20

25

usually 5 mm or less, more preferably 100 μm or less.

Excitation of the starting heat decomposable resin is carried out with the catalyst in contact with the starting material (for example, with the catalyst particles applied to the surface of a film- or sheet-form starting material, or with a powdery starting material mixed with catalyst particles) in an inert atmosphere (in an atmosphere of inert gas such as Ar, He or N_2 ; at a pressure ranging from an elevated pressure to reduced pressure, preferably at a pressure not higher than 2 atms, more preferably at reduced pressure of about 400 torr or lower: under reduced pressure in the presence of an inert gas, and the like). The amount of the catalyst relative to the starting heat decomposable resin may greatly vary depending on the form and kind of the starting material, the kind and particle size of the catalyst, and the like. Usually, however, the catalyst is used in an amount of about 10 to 1/1000 times, preferably 1/10 to 1/50 times, the weight of the starting material.

The excitation of the starting material can be carried out by various means such as heat treatment, light irradiation treatment, plasma treatment or the like.

For excitation by heat treatment, the starting material is heated at a temperature which is not higher than 3000° C, preferably about 300 to 2000° C, more

preferably about 450 to 1800°C, and which is not lower than the heat decomposition temperature of the starting material.

Excitation by light irradiation is carried out at a temperature which is within a range from room temperature to about 3000°C, and which is not lower than the heat decomposition temperature of the starting material. Usually a laser beam having a wavelength up to approximately 1200 nm, preferably a wavelength of about 150 to 1200 nm, is used for light irradiation treatment. Any types of laser beams conventionally used can be employed without limitation. Usable lasers include, for example, Nd:YAG laser, Ti:Sa laser, Dye laser, Dye+SHG laser, Ar* laser and Kr* laser.

Excitation by plazma treatment is carried out at a temperature which is within a range from room temperature to about 3000°C and which is not lower than the heat decomposition temperature of the starting material. The process for plasma irradiation is not limited, and may comprise placing the starting material in an inert gas atmosphere or a reducing gas atmosphere, and contacting the starting material with a high-energy plasma stream to thereby obtain the desired product. In order to generate the plasma stream, an electromagnetic excitation source is used. The conditions for plasma generation can

15

20

25

10

15

20

25

be suitably selected according to the kind of the gas, gas pressure, excitation voltage, excitation current, excitation power source frequency, electrode shape, etc.

Some gases are difficult to convert into a plasma state owing to their characteristics, but they can be converted into a plasma state by inputting an increased amount of excitation electromagnetic power. Examples of gases usable for plasma treatment in the invention include Ar, He, Kr, N_2 and like inert gases, hydrogen or like reducing gases, and mixtures of these gases. Among the above gases, Ar, He and the like are more preferred.

The gas pressure for the plasma treatment needs to be selected in relation to the input of the excitation electromagnetic power. With an increase in gas pressure, the number of gas molecules increases, and a large amount of energy is needed for excitation of individual gas molecules. Thus, a great amount of excitation electromagnetic power is necessary. For example, plasma generation is possible even at a gas pressure of 10 atms or more, but necessitates a large electric power source and therefore involves an extremely high facility cost. Also, a high excitation voltage and a high excitation current enable generation of a large amount of plasma particles, but an excessively high electric energy input or an excessively low pressure makes it difficult for the

10

15

electromagnetic energy to smoothly transmit to the gas, and causes discharge between the electrodes, failing to generate a sufficient amount of plasma particles. On the other hand, when the gas pressure is low, a plasma is generated with a relatively low input of electromagnetic excitation power, but an excessively low pressure results in insufficient amount of plasma. In view of these factors, it is preferable that the gas pressure at the time of plasma generation is 10^{-2} torr to atmospheric pressure.

The electromagnetic power may be of a direct current or an alternating current, and the material and shape of the electrodes can be selected according to the form of the electromagnetic power to be input. As the alternating current, a low frequency current of about 50 to 60 Hz or about 1 to 10 kHz, or a high frequency current of about 10 MHz to several GHz is usually employed. As an industrial high frequency current, a current of 13.56 MHz, 40 MHz, 915 MHz or 2.45 GHz is generally employed.

Materials usually used for the electrodes include stainless steel, aluminum and its alloys, common steel and the like. The shape of the electrodes is selected from capacitively coupled type, parallel plate type, hollow cathode type, coil type, etc.

A desired plasma can be generated easily at low

15

20

25

cost, for example, by inputting a several hundred watts of electric power to coil type electrodes using a power source with a high frequency of 13.56 MHz, in an inert gas such as Ar, He, Kr or N_2 , a reducing gas such as hydrogen, or a mixture of these gases, each having been adjusted to a reduced pressure of 1 \times 10⁻³ torr to several hundred torr.

When the starting material is subjected to electron beam irradiation, the irradiation is carried out under reduced pressure of usually about 10^{-2} to 10^{-7} torr (preferably about 10^{-3} to 10^{-5} torr) with an acceleration voltage of about 1 to 2000 kV (preferably about 50 to 1000 kV), while maintaining the starting material at a temperature of room temperature to 3000°C .

When the starting material is subjected to ion beam irradiation, the starting material is placed in a reduced pressure chamber (in which the pressure is reduced to usually about 100 to 10⁻⁷ torr, preferably about 10⁻¹ to 10⁻⁵ torr), and irradiated with ionized He ions or Ar ions, with an acceleration voltage of about 100 V to 10 kV (preferably about 200 V to 1 kV) and an ion current of about 0.01 to 100 mA/cm² (preferably about 0.1 to 10 mA/cm²).

Amorphous nano-scale carbon tubes or a carbon material containing amorphous nano-scale carbon tubes can also be synthesized by heat-treating a carbon material

10

15

20

containing reactive -C=C- and/or =C=. The heat treatment of the material is carried out in an inert atmosphere (in an inert gas such as Ar, He or N₂; at a pressure which is lower than atmospheric pressure, preferably under reduced pressure of about 400 torr or lower: under reduced pressure in the presence of an inert gas, or the like), at a temperature of 3000°C or lower, preferably about 300 to 2000°C, more preferably 450 to 1800°C. The carbon material containing reactive -C=C- and/or =C= is highly reactive owing to the triple bond in its molecule, and therefore can be easily made into amorphous nano-scale carbon tubes or a carbon material containing amorphous nano-scale carbon tubes.

The term "carbon material containing -C=Cand/or =C=" as used herein encompasses a material
comprising at least one of polyyne and cumulene, a
material comprising at least one of -C=C- and =C= bonds, a
material partially comprising at least one of polyyne and
cumulene, a material partially comprising at least one of
-C=C- and =C= bonds, and the like. The above term also
encompasses a material comprising any of the above
materials and a metal powder and/or a metal salt dispersed
in the materials.

The "carbon material containing -C=C- and/or \$25\$ =C=" for use in the present invention and the process for

its synthesis are both known. For example, polyyne having reactive triple bonds is disclosed in Japanese Unexamined Patent Publications No. 1991-44582 and No. 1988-199726; M. Kijima et al, Synthetic Metals, 86(1997), 2279; and so on.

Further, a carbon material containing polygne is disclosed in J. Kansther et al, Macromolecules, 28(1975);
L. Kavan et al, Carbon, 32 (1994), 1533; and so on.

The above publications also describe a carbon material containing -C=C- and/or =C=.

The amorphous nano-scale carbon tubes according to the present invention are carbon nanotubes of nono-scale, having an amorphous structure, a hollow straight shape, and highly controlled pores. Each of the tubes usually has a shape of cylinder or rectangular prism, and most of the tubes have at least one uncapped (open) end. In the case where tubes with closed ends are present, most of these tubes have flat ends.

The amorphous nano-scale carbon tubes of the invention each has a diameter of usually about 0.1 to 1000 nm, preferably about 1 to 200 nm, more preferably about 1 to 100 nm. Each tube has a length twice as long as, more preferably 5 times as long as, its diameter.

"Amorphous structure" means a carbonaceous structure consisting of disordered hexagonal carbon layers, which is different from a graphitic structure consisting

0 1 10

15

20

25

1.5

20

25

of continuous carbon layers of regularly disposed carbon atoms. In view of an image through a transmission electron microscope, which is typical analytical means, an amorphous nano-scale carbon tube according to the invention can be defined as a carbon tube in which the dimension in the planar direction of the hexagonal carbon layers is smaller than the diameter of the carbon tube.

Generally, amorphous carbon causes no X-ray diffraction but shows a broad reflection.

In a graphitic structure, hexagonal carbon layers are regularly stacked on one another, so that spacing between the hexagonal carbon layers (d_{002}) is narrow. Accordingly, the broad reflection shifts towards the high-angle side (20) and gradually narrows (has a smaller half-width of the 20 band). As the result, the reflection can be observed as a d_{002} diffraction band $(d_{002}=3.354 \ \text{Å}$ when the layers are regularly stacked on one another with a graphitic configuration).

In contrast, an amorphous structure generally does not cause X-ray diffraction as described above, but partially shows very weak coherent scattering. As determined by an X-ray diffraction method (incident X-ray: CuKa) with a diffractometer, the theoretical crystallographic characteristics of the amorphous nanoscale carbon tubes of the invention are defined as

15

20

follows: the spacing between hexagonal carbon layers (d_{002}) is at least 3.54 Å, preferably at least 3.7 Å; the diffraction angle (20) is 25.1 degrees or less, preferably 24.1 degrees or less; and the 20 band half-width is at least 3.2 degrees, preferably at least 7.0 degrees.

It is preferable that nano-scale carbon tubes having such amorphous structure (amorphous carbon) account for more than 95%, preferably at least 99%, of the amorphous nano-scale carbon tubes of the invention as a whole.

The term "straight" which is one of the terms describing shape of the amorphous nano-scale carbon tubes of the invention, is defined as having the following property: When the length of an amorphous nano-scale carbon tube as determined from a TEM image is taken as L and the length of the carbon tube as stretched is taken as L_0 , the value L/L_n is at least 0.9.

It is preferable that such straight amorphous nano-scale carbon tubes account for at least 90%, preferably at least 95%, of the amorphous nano-scale carbon tubes of the invention as a whole.

It was initially reported that conventional CNTs were likely to store a gas such as hydrogen, but the gasstoring capacity has not been directly confirmed.

25 Therefore, conventional CNTs are not applicable to

15

20

25

practical use as a gas-storing material.

In contrast, the amorphous nano-scale carbon tubes of the invention have a straight shape and a highly controlled pore size. Further, the carbon tubes of the invention can disperse a gas also within the spacing between the hexagonal carbon layers, and thereby absorb the expansion caused by physisorption of the gas into the hollow portion, thus having a unique feature of possessing high durability. Therefore, the amorphous nano-scale carbon tubes of the invention are highly useful as a gasstoring material. Moreover, the pore size of the material of the invention can be controlled at a molecular level, so that the material can be used as a material for selectively adsorbing/storing a specific compound, or as a molecular sieve.

Furthermore, many of the amorphous nano-scale carbon tubes of the invention have at least one open end, obviating the complicating process for opening the ends. Further, most of the carbon tubes of the invention which have closed ends have flat ends and have a relatively high structural strain at the ends. The ends of carbon tubes with flat ends are also easy to open.

Moreover, since the amorphous nano-scale carbon tubes of the invention have a straight shape, they can be densely packed for gas storing and are advantageous for

15

20

dispersion of a gas within the material.

Furthermore, the carbon tubes of the invention and a carbon material containing the carbon tubes have flexibility to absorb an external forth, and thus are useful as a sliding material, a wear resistive material or the like.

The amorphous nano-scale carbon tubes of the invention or the carbon material containing the carbon tubes are expected to have a high capacitance owing to their properties, when used as an anode for lithium secondary batteries.

The amorphous nano-scale carbon tubes of the invention or the carbon material containing the carbon tubes are also useful as a semiconductor material, semiconductor fibrils, an electron emitting material or the like.

EFFECTS OF THE INVENTION

The present invention accomplishes the following remarkable effects:

- (a) Nano-scale carbon tubes being straight, stable and nano-order and having an amorphous structure can be obtained, which are useful as a novel gas-storing material having excellent durability and a high capacity to stably store a variety of gases.
 - (b) Amorphous nano-scale carbon tubes which were

- 5

10

15

20

25

hitherto not known can be obtained through a synthesis mechanism different from known techniques by using, as a starting material, a heat decomposable resin or a carbon material containing reactive -C=C- and/or =C-.

- (c) Amorphous nano-scale carbon tubes with a reduced amount of impurity, or a carbon material containing the carbon tubes can be produced in a high yield. Therefore, desired nano-scale carbon tubes can be purified and obtained easily, realizing high mass-productivity on an industrial scale.
- (d) The amorphous nano-scale carbon tubes have a hollow straight shape, and can be formed into a thin layer on a substrate. Accordingly, the carbon tubes are extremely useful as a material for electronic devices.
- (e) The amorphous nano-scale carbon tubes or a carbon material containing the carbon tubes are useful as a gas-storing material, a high elasticity material, a high strength material, a wear resistive material, an electron beam emitter, a highly directional X-ray source, a soft X-ray source, a one-dimensional conductor, a high heat-conductive material, other materials for electronic devices, or the like.

PREFERRED MODE FOR CARRYING OUT THE INVENTION

The following Examples and Reference Examples are provided to illustrate the features of the present

10

15

20

25

invention in further detail. However, the present invention is not limited to these Examples.

Example 1

10 mg of an anhydrous iron chloride powder (having a particle size not greater than 500 μ m) was dusted uniformly over a PTEE film (60 μ m x 10 mm x 10 mm), and the film was then excited by plasma. Fig. 1 shows the outline of a thin film forming apparatus 1 used. It is a matter of course that an apparatus having a structure other than that shown in Fig. 1 can be used in the present invention.

The thin film forming apparatus 1 shown in Fig. 1 comprises a reactor 4 equipped with a material gas inlet 2 and a gas discharge port 3, a first electrode 5 made of a helical wire and provided in the reactor 4 in an insulated manner, and further comprises a support member 8 electrically contacted with an object 6 and supporting the object 6 within a plasma generation area 7 surrounded by the first electrode 5; the support member 8, in combination with an internal wall 4a of the reactor 4, being electrically grounded to serve as a second electrode 2. Consequently, application of a high frequency current to the first electrode 5 induces a high frequency electric field in the plasma generation area 7, to thereby generate a so-called inductively coupled plasma.

15

20

25

The size of the helical first electrode 1 may be varied depending on the size of the object 6 held inside the helix. The helix has a diameter of about 1.5 to 10 times the overall dimension in the radial direction of the object 6, and has a height, in the axial direction perpendicular to the radial direction of the helix, of about 1.5 to 3 times the overall dimension in the axial direction of the object 6. Loops of the helical electrode 5, adjacent in the axial direction, are out of contact with one another and have intervals of preferably 1 to 10 mm.

In operation of the apparatus, a material gas supplied from the gas inlet 2 into the reactor 4 is introduced into the plasma generation area 7 through clearances between the loops of the first electrode 5. A predetermined high frequency current is passed through the first electrode 5 in the following manner: While one end of the first electrode 5 is open, a high frequency power source 11 with a maximum output of 10 kW is connected to the other end of the first electrode 5 via a matching circuit 10 provided outside the reactor 4, to apply a high frequency voltage of 13.56 MHz. A vacuum pump 12 is connected to the gas discharge port 3 to reduce the pressure in the reactor 4 to a predetermined reaction pressure.

15

20

25

Accordingly, when the high frequency voltage is applied to the first electrode 5 in the above manner, the first electrode 5 serves as a cathode electrode so as to generate another high frequency electric field (termed a capacitively coupled high frequency electric field) between the electrodes 5 and 9, in addition to the induction high frequency electric field. The inductively coupled plasma converts the material gas introduced into the plasma generation area 7, to high-energy active chemical species. The active chemical species, as charged particles, receive an electromagnetic force from the above two types of high frequency electric fields and from a high frequency magnetic field induced by the high frequency current, thereby exciting the object 6 with the resulting plasma.

 $\label{eq:thermodynamics} \mbox{The conditions for plasma excitation were as} \ \mbox{follows}.$

Atmosphere : Ar

Internal Pressure : 0.01 torr

Electric power input : 300 W

RF frequency : 13.56 MHz

After completion of the reaction, formation of amorphous nano-scale carbon tubes (diameter: 10 to 60 nm, length: 5 to 6 µm) was confirmed by SEM and X-ray diffraction.

15

20

Fig. 2 is an X-ray diffraction chart of the amorphous nano-scale carbon tubes obtained. Fig. 2 shows that the diffraction angle (2θ) was 19.1 degrees; that the spacing between the hexagonal carbon layers (done) calculated from the diffraction angle was 4.6 Å; and that the half-width of 2θ band was 8.1 degrees.

Example 2

10 mg of an anhydrous iron chloride powder (having a particle size not greater than 500 um) was dusted uniformly over a PTFE film (60 µm x 10 mm x 10 mm), and the film was placed in a vacuum furnace. The pressure in the furnace was reduced to 0.1 Pa, and then the film was irradiated with a laser beam

The conditions for laser beam irradiation were as follows.

Atmosphere

: He

Internal pressure

: 500 torr

Temperature

: 800°C

Input laser beam wavelength

: 248 nm

Input laser beam power density: 17 mJ/pulse/cm2

Number of cycles of input

laser beam

: 1 Hz

Period of irradiation with

25 the input laser beam

: 30 minutes

After completion of the reaction, formation of amorphous nano-scale carbon tubes was confirmed by SEM and X-ray diffraction. The product obtained in this Example had a diameter and length approximately equal to that of Example 2.

Example 3

10 mg of an anhydrous iron chloride powder (having a particle size not greater than 500 μ m) was dusted uniformly over a PTFE film (60 μ m x 10 mm x 10 mm). The film was placed in a vacuum furnace. The furnace was purged with nitrogen 3 times, and the pressure in the furnace was reduced to 3 Pa. Then, the film was vacuum baked at 900°C for 10 minutes.

Formation of amorphous nano-scale carbon tubes was observed by SEM and X-ray diffraction. The product obtained in this Example had a diameter and length approximately equal to that of Example 1.

Fig. 3-A and Fig. 3-B are TEM photographs of an amorphous nano-scale carbon tube obtained. Fig. 4 is an X-ray diffraction chart of the carbon tubes thus obtained.

TEM observation revealed that, in each of the obtained amorphous nano-scale carbon tubes, the dimension in the planar direction of the hexagonal carbon layers is smaller than the diameter of the carbon tube. The X-ray

15

20

25

diffraction angle (20) was 18.9 degrees; the spacing between the hexagonal carbon layers (d_{oo2}) calculated from the diffraction angle was 4.7 Å; and the 20 band halfwidth was 8.2 degrees.

5 Examples 4 to 10

Amorphous nano-scale carbon tubes were formed in the same manner as in Example 3 except that the vacuum conditions, temperature and type of catalyst (only in Example 6) were changed as shown in Table 1. The product obtained in each of these Examples was approximately equal in diameter and length to that of Example 1.

Table 1

	Catalyst			Atmosphere			
Example	Туре	Amount (mg)	Type	Pressure (Pa)	Temperature		
4	FeCl ₂	10	Vacuum	3	650		
5	FeCl ₂	10	He	65800	800		
6	MgCl ₂	10	Vacuum	3	800		
7	FeCl ₂	10	Ar	750	700		
8	FeCl ₂	10	Nitrogen	750	700		
9	FeCl ₂	10	Vacuum	3	1500		
10	FeCl ₂	10	Nitrogen	2×10 ⁵	900		

Fig. 5 is an X-ray diffraction chart of the

15 carbon tubes obtained in Example 9. In the product
obtained by treatment at a relatively high temperature of
1500°C in Example 9, the X-ray diffraction angle (20) was
19.1 degrees, the spacing between the hexagonal carbon
layers (d₀₀₂) calculated from the X-ray diffraction angle

was 4.6 Å, and the 2θ band half-width was 8.1 degrees.

Example 11

0.6 g of an anhydrous magnesium chloride hexahydrate powder (having a particle size not greater than 500 µm) and 0.3 g of an anhydrous lithium chloride powder were dusted uniformly over 1 g of a PTFE film with a thickness of 60 µm. The film was placed in a vacuum furnace. The furnace was purged with nitrogen 3 times, and the pressure in the furnace was reduced to 0.1 Pa. Then, the film was vacuum heated at 900°C for 30 minutes.

SEM and X-ray diffraction confirmed formation of amorphous nano-scale carbon tubes having a diameter of 10 to 60 nm and a length of 5 to 6 μm_{\odot}

15

20

10

Example 12

10 g of a polyvinyl alcohol powder and 10 mg of FeCl₂ were mixed together and placed in a vacuum furnace. The furnace was purged with nitrogen 3 times. The pressure in the furnace was reduced to 0.1 Pa, and then the mixture was vacuum heated at 900°C for 30 minutes.

SEM and X-ray diffraction confirmed formation of amorphous nano-scale carbon tubes having a diameter of 10 to 60 nm and a length of 5 to 10 µm.

Example 13

 $10~{\rm g}$ of a polyethylene powder and $10~{\rm mg}$ of FeCl $_2$ were mixed together and placed in a vacuum furnace. The furnace was purged with nitrogen 3 times. The pressure in the furnace was reduced to 0.1 Pa, and then the mixture was vacuum heated at 900°C for 30 minutes.

SEM and X-ray diffraction confirmed formation of amorphous nano-scale carbon tubes having a diameter of 10 to 60 nm and a length of 5 to 10 µm.

Example 14

10 g of a polyimide powder and 10 mg of $FeCl_2$ were mixed together and placed in a vacuum furnace. The furnace was purged with nitrogen 3 times. The pressure in the furnace was reduced to 0.1 Pa, and then the mixture was vacuum heated at $900^{\circ}C$ for 30 minutes.

SEM and X-ray diffraction confirmed formation of amorphous nano-scale carbon tubes having a diameter of 10 to 60 nm and a length of 5 to 10 µm.

Example 15

 $10~{\rm g}$ of a polyacrylonitrile powder and $10~{\rm mg}$ of ${\rm FeCl_2}$ were mixed together and placed in a vacuum furnace. The furnace was purged with nitrogen 3 times. The pressure in the furnace was reduced to 0.1 Pa, and then

10

15

20

the mixture was vacuum heated at 900°C for 30 minutes.

SEM and X-ray diffraction confirmed formation of amorphous nano-scale carbon tubes having a diameter of 10 to 60 nm and a length of 5 to 10 µm.

Reference Example 1

PTFE films were electrolytically or chemically reduced to synthesize carbon materials containing -C=C- and/or =C= in their surface layers.

(1) Electrolytic reduction was performed by the two electrode method (anode: magnesium, cathode: stainless steel) using, as a solvent, a tetrahydrofuran solution of indicator salts (LiCl: 0.8 g, FeCl₂: 0.48 g, THF: 30 ml). 10 PTFE films (10 mm x 10 mm x 0.03 mm) and the solvent were placed in a flask equipped with an anode and a cathode, followed by electrolytic reduction with stirring in an argon atmosphere at 0°C for 15 hours. During the reaction, a potential of 25 V was applied between the anode and the cathode. After completion of the reaction, the PTFE films were washed with THF, vacuum dried and stored in an argon atmosphere.

TEM observation of the cross sections of the films revealed that the surface portion of each film had been reduced and converted into a 10 µm-thick layer of a carbon material. Raman spectrum exhibited a band at 2100

5

10

15

20

15

20

25

cm $^{-1}$ attributable to C=C, and a band at 1500 cm $^{-1}$ attributable to C=C. The above observation results demonstrate that, in the obtained material, the surface layers of the PTFE films had been converted into a carbon material containing -C=C- and/or =C=.

(2) Chemical reduction was carried out as follows: 10.0 g of particulate Mg, 2.66 g of anhydrous lithium chloride (LiCl), 1.60 g of anhydrous ferrous chloride (FeCl₂), 20 PTFE films (8 mm x 8 mm x 50 µm, total weight: about 0.2 g) and a stirring bar were placed in an egg plant type flask (hereinafter referred to as "the reactor") with an internal volume of 100 ml equipped with a three-way cock. These raw materials were dried at 50°C under a reduced pressure of 1 mmHg. Then, a dry argon gas was introduced into the reactor, and 44 ml of THF previously dried with sodium-benzophenone ketyn, followed by stirring at room temperature for about 3 hours using a magnetic stirrer.

After completion of stirring, the PTFE films, which had been converted to black-colored carbonaceous material, were recovered from the reaction mixture, washed twice with 20 ml of dry THF, and vacuum dried. TEM observation of the cross sections of the films revealed that a 10 µm-thick surface layer of each film had been reduced and converted into a carbon material. Analysis with a Raman spectrometer clearly showed a peak

attributable to C=C (1500 cm $^{-1}$) and a peak attributable to C=C (2100 cm $^{-1}$), which had not been observed in the original PTFE films.

The following Examples were carried out using samples synthesized by the above process (1) and those synthesized by the process (2), unless otherwise specified.

Examples 16 to 20

The procedure of Reference Example 1 was

10 followed to form a 10 µm-thick carbon material having
C=C- and/or =C= structure on PTFE films. The carbon

material was heat-treated under the conditions shown in

Table 2.

Table 2

	Atmosphere					
Example	Type	Pressure	Temperature			
		(Pa)	(°C)			
16	Vacuum	3.5	600			
17	Vacuum	3.5	800			
18	Vacuum	3.5	900			
19	Vacuum	3.5	1100			
20	Vacuum	3.5	1500			

15

20

SEM and X-ray diffraction observation confirmed that a large amount of amorphous nano-scale carbon tubes (diameter: 10 to 60 nm, length: 5 to 6 μ m) was formed at any of the above temperatures.

TEM photographs of the product obtained in

Example 18 are shown in Fig. 6-A and in Fig. 6-B (an enlarged fragmentary view of the product shown in Fig. 6-A).

As to the carbon nanotubes of the above Examples, it was confirmed by TEM observation that the dimension in the planar direction of the hexagonal carbon layers is smaller than the diameter of the carbon nanotubes.

Table 3 presents X-ray diffraction data of typical samples. The X-ray diffraction angle (20) was in the range of 18.9 to 22.6 degrees; the spacing between the hexagonal carbon layers (d_{002}) was in the range of 3.9 to 4.7 Å; and the 20 half-width of the was in the range of 7.6 to 8.2 degrees. Thus, the carbon material of the invention was confirmed to have an amorphous structure.

Table 3

Example	Diffraction angle (20;degree)	d ₀₀₂ diffraction band (Å)	2θ band half- width (degree)
1	19.1	4.6	8.1
3	18.9	4.7	8.2
5	18.9	4.7	8.2
6	18.9	4.7	8.2
9	19.1	4.6	8.1
18	20.0	4.4	8.0
20	22.6	3.9	7.6

SEM and TEM observation revealed that the amorphous nano-scale carbon tubes obtained in the Examples

15

10

15

20

25

had a straight shape, and were not entangled with one another.

Comparative Example 1

Carbon nanotubes were synthesized by a conventional arc discharge method, purified, and observed with SEM, TEM and X-ray diffraction.

SEM and TEM observation showed that the carbon nanotubes obtained in Comparative Example 1 had a graphitic structure, had a curvilinear shape, and were entangled with one another. The X-ray diffraction angle (20) was 26.2 degrees, the spacing between the hexagonal carbon layers (d_{002}) was 3.40 Å, and the 20 band half-width was 0.9 degree. These results also confirmed that the carbon nanotubes had a graphitic structure.

The amorphous nano-scale carbon tubes obtained in the Examples and the carbon nanotubes obtained in Comparative Example 1 were tested for repetitive hydrogenstoring properties at 100 atms by a gravimetric method (with buoyancy correction) using a two-component adsorption measurement apparatus ("FMS-BI-H" manufactured by BEL JAPAN, INC.).

Table 4 shows the hydrogen-storing properties of typical samples. After a hydrogen-releasing step, the amount of adsorbed hydrogen in each material became

substantially zero. It was confirmed by the results shown in Table 4 that the amorphous nano-scale carbon tubes of the invention have a high capacity for storing hydrogen, and high durability.

Table 4

	Į.	Amount of hydrogen stored (wt.%)					
	1st trial	3rd trial	5th trial	10th trial	20th trial	30th trial	
Example 3	1.6	1.6	1.6	1.6	1.6	1.6	
Example 9	2.3	2.3	2.3	2.3	2.3	2.3	
Comp.Ex.1	0.2	0.2	0.2	0.1	0.0	0.0	

It was also confirmed that the carbon materials obtained in the Examples have a high capacity for storing other gases (such as methane, helium, neon, xenon, krypton and carbon dioxide), which is equivalent to their hydrogen-storing capacity.

10

10

15

20

CLAIMS

- Nano-scale carbon tubes each containing a main framework which comprises carbon, and each having a diameter of 0.1 to 1000 nm and an amorphous structure.
- 2. The nano-scale carbon tubes according to claim 1, each of which comprises hexagonal carbon layers each having a dimension in a planar direction that is smaller than the diameter of the carbon tube, as determined from a transmission electron microscope image.
- 3. The nano-scale carbon tubes according to claim 1 or 2, each of which has an interlayer spacing (002) between hexagonal carbon layers of at least 3.54 Å, a diffraction angle (20) of 25.1 degrees or less, and a 20 band half-width of at least 3.2 degrees, as determined with a diffractometer by an X-ray diffraction method (incident X-ray: $CuK\alpha$).
- 4. The amorphous nano-scale carbon tubes according to any one of claims 1 to 3, each of which has a straight shape.
- 5. The amorphous nano-scale carbon tubes according to any one of claims 1 to 4, each of which has a hollow cylindrical shape or a hollow rectangular prism shape.
- 6. The amorphous nano-scale carbon tubes
 25 according to any one of claims 1 to 5, each of which has

at least one open or flat end.

- 7. The amorphous nano-scale carbon tubes according to any one of claims 1 to 6, which are formed on a substrate, a particle or a porous material.
- 8. A gas-storing material comprising an amorphous carbonaceous material containing the amorphous nano-scale carbon tubes according to any one of claims 1 to 7.
- The gas-storing material according to claim 8, which contains at least one of a metal salt and a metal.
- 10. The gas-storing material according to claim 9, wherein the metal salt and the metal are selected from the group consisting of iron, cobalt, nickel, copper, platinum, palladium, rubidium, strontium, cesium, vanadium, manganese, aluminum, silver, lithium, potassium, sodium, magnesium, hydrogen-occluding alloys and metal complexes.
- 11. A method for storing a gas, wherein a gas is stored using the gas-storing material according to any one of claims 8 to 10.
- 12. The method according to claim 11, wherein the gas to be stored is hydrogen, methane, helium, neon, xenon, krypton or carbon dioxide.
- 13. A method for producing a carbon material containing amorphous nano-scale carbon tubes according to any one of claims 1 to 7, the method comprising subjecting

1.0

15

20

25

1.0

15

20

25

a heat decomposable resin having a decomposition temperature of 200 to 900°C to excitation treatment in the presence of a catalyst comprising a metal powder and/or a metal salt.

14. The method for producing said carbon material containing the amorphous nano-scale carbon tubes according to claim 13, wherein the catalyst comprising a metal powder and/or a metal salt is at least one member selected from the group consisting of alkaline earth metals, iron, cobalt, nickel, chromium and their salts.

- 15. The method for producing said carbon material containing the amorphous nano-scale carbon tubes according to claim 13 or 14, wherein the excitation treatment of the heat decomposable resin is carried out by a heat treatment in an inert gas at a temperature of 300 to 3000°C.
- 16. The method for producing said carbon material containing the amorphous nano-scale carbon tubes according to claim 13 or 14, wherein the excitation treatment of the heat decomposable resin is carried out by a light irradiation treatment in an inert gas at a temperature of room temperature to 3000°C.
- 17. The method for producing said carbon material containing the amorphous nano-scale carbon tubes according to claim 13 or 14, wherein the excitation

1.5

treatment of the heat decomposable resin is carried out by plasma treatment in an inert gas at a temperature of room temperature to $3000\,^{\circ}\text{C}$.

- 18. The method for producing said carbon material containing the amorphous nano-scale carbon tubes according to claim 13 or 14, wherein the excitation treatment of the heat decomposable resin is carried out by electron beam irradiation treatment in an inert gas at a temperature of room temperature to 3000°C.
- 19. The method for producing said carbon material containing the amorphous nano-scale carbon tubes according to claim 13 or 14, wherein the excitation treatment of the heat decomposable resin is carried out by ion beam irradiation treatment in an inert gas at a temperature of room temperature to 3000°C.

ABSTRACT

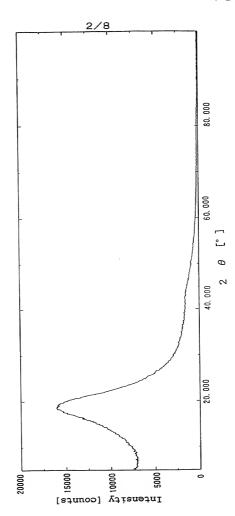
Straight, nano-scale-order amorphous carbon tubes having a long-term stable ability for storing various kinds of gases and being stable in shape, and a novel process for producing said carbon tubes with high purity, high yield and high mass-productivity are provided.

The amorphous nano-scale carbon tubes are prepared by subjecting a heat-decomposable resin having a decomposition temperature of 200 to 900°C to an excitation treatment in the presence of a metal powder and/or a metal salt, or by subjecting a carbon material containing -C=C-and/or =C= to a heat-treatment at 3000° C or lower.

_ material gas FIG.

Ø

FIG.



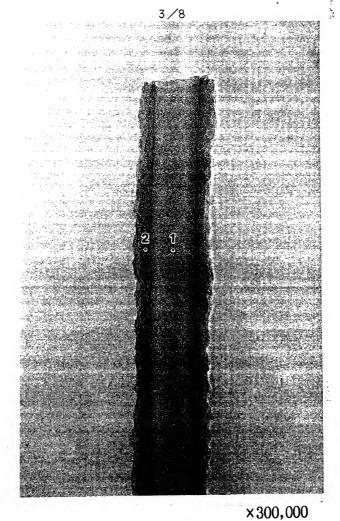
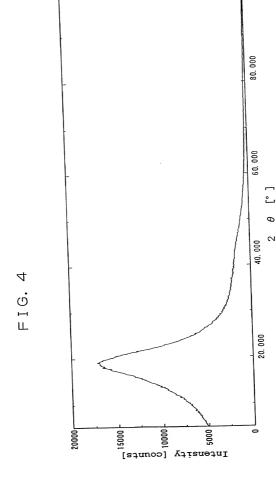


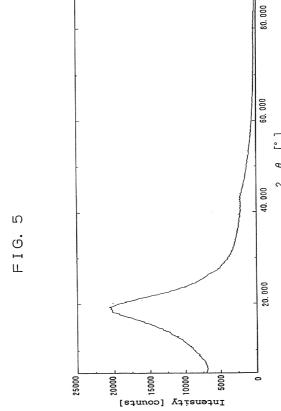
FIG. 3-A



FIG. 3-B



5/8



6/8

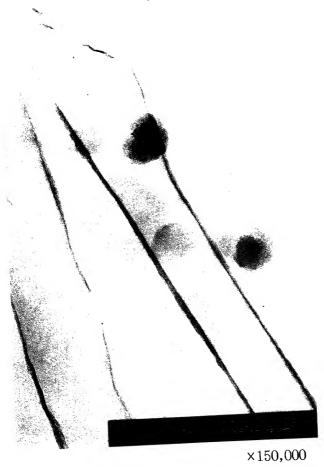


FIG. 6-A



 $\times 1,500,000$

FIG. 6-B

٨	W/LI	Mal	S. NI	Docket	No	

Declaration For U.S. Patent Application

As a below named inventor, I hereby declare that:

P. Kong, Reg. No. 40,054....

My residence, post office address and citizenship are as stated below next to my name.

the specification of which is attached hereto unless the following is checked:

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled (Insert Title)—AMORPHOUS NAMO—SCALE—CARRON TUBE AND PRODUCTION METHOD THEREFOR

	was filed on	October 29, 19	999	_as United States Application N	umber or PCT	Γ International
X A	Application Nur	nber PCT/JP99/0	06061	and was amended o		, 2000 and
	if applicable).				Octob	er 17, 20
any amendmen	t referred to abo	ve.		identified specification, including as defined in Title 37, Code of		
ventor's certifica	te listed below	nefits under Title 35, Un and have also identified ion on which priority is	below any foreign	119 (a) - (d) of any foreign application for patent or invento	lication(s) for or's certificate	patent or having a fil-
(List prior	199	8-372258	Japan	28/12/19		riority Claimed X Yes No
oreign opplications.	199	(Number) 9-159178	(Country Japan	(Day/Month/Yea 07/06/19	r Filed)	X Yes I No
ce note A n back of		(Number)	(Country	(Day/Month/Yea	r Filed)	☐ Yes ☐ No
his page)		(Number)	(Country	(Day/Month/Yea	r Filed)	☐ Yes ☐ No
		(Number)	(Country	(Day/Month/Yea	r Filed)	_ 100 _ 110
		(Application Number	er)	(Filing Date)		
		(Application Numb	er)	(Filing Date)		
bject matter of e e first paragraph as defined in Ti	ach of the claim of Title 35, Uni itle 37, Code of PCT internatio	s of this application is nated States Code, § 112,	not disclosed in the I acknowledge the 1.56 which became	nited States application(s) listed prior United States application i duty to disclose information wh available between the filing dat tte) (Status) (patent	in the manner ich is material e of the prior	provided by I to patentabil- application
	(Appli	cation Serial Number)	(Filing Da	te) (Status) (patent	ed, pending, a	abandoned)
ademark Office James E. Le-Nhung G. Kratz,	connected there Armstrong, III, McLeland, Re	with: Reg. No <u>. 18,366;</u> Willia	m F. Westerman, R Naughton, Reg. N	eg. No. 29,988; Ken-Ichi Hattor 24,616; John R. Pegan, Reg. No. 24,616; John R. Pegan, Reg. No. 21,809	ri, Reg. No. 3 No. 18,069; W	2.861; /illiam

Please direct all communications to the following address:

ARMSTRONG, WESTERMAN, HATTORI,

MCLPLAND & NALIGHTON

- 1725 K Street, NW. Suite 1000

Washington, D.C. 20060

Telephone: (202) 659-2930 Fax: (202) 887-0357

MATSUI Hisaii

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18 of the United States Code, § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

	100	Full name of sole or first inventor (given name, family name)	MATSUI	Hisaji
	(See note C above)	Inventor's Signature Hi Suff Matsu, Residence Nara-shi, Nara 630-8273, Japan (Date_	3/April/201
	0 40010)	Residence Nara-shi, Nara 630-8273, Japan	Citizenship _	Japan
		Post Office Address 20-1, Oshiage-cho, Nara-shi,	Nara 630-8	3273, Japan
	Full name of sec	ond inventor (given name, family name) NISHIDA Ryoic	hi	
	Inventor's Signat	ture Ryoichi Mishider	Date _	3/April/2001
(7)	Residence_Ikc	ma-shi, Nara 630-0136, Japan	_Citizenship _	Japan
03	Post Office Addr	ess 3-11, Shiraniwadai 2-chome, Ikoma-shi, N	Nara 630-01	.36, Japan
O1	Full name of thir	d inventor (given name, family name)NISHINO Hitoshi	i .	
À		ure 2 witoshi Nizhins		3/ April / 200
Ų	Residence Kyc	to-shi, Kyoto 600-8898, Japan	_Citizenship _	Japan
SM:	Post Office Addr	KRP Rejidensu 308, 39, Higashionmaeda-ch	no, Nishish	ichijo, Shimogyo-ku,
10	Full name of four	Kyoto-shi, Kyoto 600-8898, Japan rth inventor (given name, family name) YAMAGUCHI Chi	ham	
U			Date	3/ April /2001
X	Residence Ak	achi-chi Hyogo 673-0869 Japan VPX	and the	Taman
-1	Post Office Addr	485-70, Aza Higashiyama, Okuradani, Akas	shi-shi, Hy	rogo 673-0869, Japan
v		n inventor (given name, family name) NAKAOKA Haruy		3/APNI /2001
	Peridence Kis	1	Date Citizenship	Japan
	Post Office Addr	452-2, Ikejiri-cho, Kishiwada-shi, Osaka	596-0813,	
1		h inventor (given name, family name) TAKEZAKI Kazu		
بر		ure <u>ka zuhito Takezaki</u> natokoriyama-shi, Nara 639-1054, Japan	77	4/APril / Loc Japan
		ess 876-11, Shinmachi, Yamatokoriyama-shi, Na	Citizenship	
	1 Ost Office Audit		7-7	47 Japan
		enth inventor (given name, family name) SASAKI Katsul	niro 🔭	
1	-	ure Katsuhiro Sasaki	Date	3 / April / 2001
)	Residence_Osa	ka-shi, Osaka 533-0004, Japan	_Citizenship _	Japan
	Post Office Addre	ess 6-64, Komatsu 5-chome, Higashiyodogawa-ku	ı, Usaka-sh	1, Usaka 533-0004, Japan
	Full name of eigh	nth inventor (given name, family name)		
	Inventor's Signat	ure	Date	
	Residence		_Citizenship	
	Post Office Addre	288		

United States Patent & Trademark Office

Office of Initial Patent Examination - Scanning Division



Application		

□ Page(s)	of		were not present
for scanning.		(Document title)	
□ Page(s)	of		were not present
for scanning.		(Document title)	

Scanned copy is best available. commings